



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 8

1595 WYNKOOP STREET
DENVER, CO 80202-1129

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2017 APR 25 PM 1:49

FILED
EPA REGION VIII
HEARING CLERK

DOCKET NO.: TSCA-08-2017-0006

IN THE MATTER OF:

HOLLY STILES

RESPONDENT

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FINAL ORDER

Pursuant to 40 C.F.R. § 22.13(b) and §§ 22.18(b)(2) and (3) of EPA's Consolidated Rules of Practice, the Consent Agreement resolving this matter is hereby approved and incorporated by reference into this Final Order.

The Respondent is hereby **ORDERED** to comply with all of the terms of the Consent Agreement, effective immediately upon filing this Consent Agreement and Final Order.

SO ORDERED THIS 25th DAY OF April, 2017.

Katherin E. Hall
Katherin E. Hall
Regional Judicial Officer

UNITED STATES
ENVIRONMENTAL PROTECTION AGENCY
REGION 8

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Docket No. TSCA-08-2017-0006

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HEARING CLERK

IN THE MATTER OF:)

Holly Stiles)
17509 County Road 394)
La Salle, Colorado 80645)

Respondent.)
_____)

COMBINED COMPLAINT AND
CONSENT AGREEMENT

Complainant, the United States Environmental Protection Agency, Region 8 (EPA), and Respondent, Holly Stiles (Respondent), by their undersigned representatives, hereby consent and agree as follows:

I. AUTHORITY

1. This Combined Complaint and Consent Agreement (CCCA) is entered into by the EPA, by its duly delegated officials, and by Respondent for the purpose of simultaneously commencing and concluding this matter, as authorized by 40 C.F.R. § 22.13(b), and pursuant to 40 C.F.R. § 22.18(b)(2) and (3).
2. The EPA has jurisdiction over this matter pursuant to sections 16 and 409 of the Toxic Substances Control Act (TSCA), 15 U.S.C. §§ 2615, 2689, and the regulations promulgated under TSCA subchapter IV, as set forth at 40 C.F.R. part 745.

II. STATUTORY AND REGULATORY BACKGROUND

3. As directed by section 402(c) of TSCA, the EPA promulgated the Renovation, Repair, and Painting (RRP) Rule, codified at 40 C.F.R. part 745, subpart E, with the purpose of protecting the public from lead-based paint hazards associated with renovation, repair, and painting activities.
4. The RRP Rule requires that individuals performing renovations for compensation in target housing are properly trained, renovators and firms that perform renovations are certified, and the work practice standards at 40 C.F.R. § 745.85 are followed during renovations.
5. "Target housing" means any housing constructed prior to 1978, except for housing for the elderly or persons with disabilities (unless any child who is less than 6 years of age resides or is expected to reside in such housing) or any zero-bedroom dwelling. 15 U.S.C. § 2681(17).
6. "Renovation" means the modification of any existing structure, or portion thereof, that results in the disturbance of painted surfaces, unless that activity is performed as part of an abatement as defined at 40 C.F.R. § 745.223. 40 C.F.R. § 745.83.

7. Failure to comply with any provision of the RRP Rule, 40 C.F.R. part 745, subpart E, constitutes a violation of section 409 of TSCA, 15 U.S.C. § 2689. Section 16 of TSCA, 15 U.S.C. § 2615, as modified by 40 C.F.R. part 19, authorizes the EPA to assess a civil penalty of up to \$37,500 for each violation of section 409.

III. RESPONDENT

8. Respondent is an individual doing business in the State of Colorado.
9. Respondent is a “person” for purposes of sections 16 and 409 of TSCA, 15 U.S.C. §§ 2615, 2689, and as defined at 40 C.F.R. § 745.83.
10. Respondent is a “firm” as defined by 40 C.F.R. § 745.83.

IV. STATEMENTS OF FACT AND CONCLUSIONS OF LAW

11. On July 12, 2016, an authorized representative of the EPA conducted a compliance inspection at Respondent’s jobsite at 1217 S. Pennsylvania Street in Denver, Colorado (Jobsite) to determine compliance with the RRP Rule.
12. The Jobsite is a residential property constructed prior to 1978 and is “target housing” as the term is defined in 15 U.S.C. § 2681(17).
13. Respondent performed a “renovation” as the term is defined in 40 C.F.R. § 745.83, by directing demolition and renovation activities throughout the interior and exterior of the property for compensation.
14. The counts listed below represent all the violations of the RRP rule that were observed by the EPA during the compliance inspection of the Jobsite.

Count 1

15. The “initial certification” requirement at 40 C.F.R. § 745.89(a) provides that firms performing renovations on target housing are required to apply to the EPA for certification.
16. Respondent failed to obtain initial certification from the EPA prior to performing a renovation on target housing, as required by 40 C.F.R. § 745.89(a).
17. Respondent’s failure to obtain initial certification constitutes a violation of 40 C.F.R. § 745.81(a)(2)(ii) and section 409 of TSCA, 15 U.S.C. § 2689.

Count 2

18. Firms performing renovations on target housing are required to ensure that a certified renovator is assigned to each renovation and carries out the renovator responsibilities in accordance with 40 C.F.R. § 745.90, pursuant to 40 C.F.R. § 745.89(d)(2).
19. Respondent failed to assign a certified renovator to the renovation at the Jobsite, as required by 40 C.F.R. § 745.89(d)(2).

20. Respondent's failure to assign a certified renovator constitutes a violation of 40 C.F.R. § 745.89(d)(2) and section 409 of TSCA, 15 U.S.C. § 2689.

Count 3

21. Firms performing renovations on target housing are required to close windows and doors in the work area, cover doors with plastic sheeting or other impermeable material, and cover doors used as an entrance to the work area with plastic sheeting or other impermeable material in a manner that allows workers to pass through while confining dust and debris, pursuant to 40 C.F.R. § 745.85(a)(2)(i)(C).
22. At the time of the inspection, Respondent had not ensured all doors in the work area were closed or covered with plastic sheeting or other impermeable material, as required by 40 C.F.R. § 745.85(a)(2)(i)(C).
23. Respondent's failure to ensure doors in the work area were closed or covered with plastic sheeting or other impermeable material constitutes a violation of 40 C.F.R. § 745.85(a)(2)(i)(C) and section 409 of TSCA, 15 U.S.C. § 2689.

Count 4

24. Firms performing renovations on target housing are required, before beginning the renovation, to cover the floor surface, including installed carpet, with taped-down plastic sheeting or other impermeable material in the work area 6 feet beyond the perimeter of surfaces undergoing renovation or a sufficient distance to contain the dust, whichever is greater, pursuant to 40 C.F.R. § 745.85(a)(2)(i)(D).
25. At the time of the inspection, Respondent had not ensured the floor surface was covered with with taped-down plastic sheeting or other impermeable material in the work area 6 feet beyond the perimeter of surfaces undergoing renovation or a sufficient distance to contain the dust, as required by 40 C.F.R. § 745.85(a)(2)(i)(D).
26. Respondent's failure to ensure the floor surface was covered with taped-down plastic sheeting or other impermeable material constitutes a violation of 40 C.F.R. § 745.85(a)(2)(i)(D) and section 409 of TSCA, 15 U.S.C. § 2689.

Count 5

27. Firms performing renovations on target housing are required to contain waste from renovation activities to prevent releases of dust and debris before the waste is removed from the work area for storage or disposal, pursuant to 40 C.F.R. § 745.85(a)(4)(i).
28. At the time of the inspection, Respondent had not ensured that waste from renovation activities at the Jobsite was contained to prevent releases of dust and debris, in violation of 40 C.F.R. § 745.85(a)(4)(i).
29. Respondent's failure to ensure the containment of waste from renovation activities at the Jobsite constitutes a violation of 40 C.F.R. § 745.85(a)(4)(i) and section 409 of TSCA, 15 U.S.C. § 2689.

V. SETTLEMENT

30. The EPA and Respondent agree that settlement of this matter is in the public interest, and the EPA and Respondent agree that execution of this CCCA and issuance of a final order without further litigation and without adjudication of any issue of fact or law, is the most appropriate means of resolving this matter.
31. In determining the amount of any penalty to be assessed, the EPA considered the nature, circumstances, extent and gravity of the violations alleged and, with respect to Respondent, the ability to pay, the effect of the proposed penalty on the ability to continue to do business, any history of prior violations, the degree of culpability, and such other matters as justice may require, in accordance with section 16 of TSCA, 15 U.S.C. § 2615.
32. By signing this CCCA, Respondent: (a) admits that Respondent was subject to the RRP requirements, 40 C.F.R. part 745, subpart E, at the time the work described herein was being conducted; (b) admits the jurisdictional allegations made herein; (c) neither admits nor denies the factual allegations contained herein; and (d) consents to the assessment of the penalty specified in this CCCA.
33. Pursuant to section 16 of TSCA, 15 U.S.C. § 2615, the EPA has determined that a civil penalty of one thousand seven hundred dollars (\$1,700) is appropriate to settle this matter.
34. Respondent consents and agrees to pay a civil penalty in the amount of one thousand seven hundred dollars (\$1,700) in the manner described below.
 - a. Payment shall be in two installments. The first installment is due no later than thirty (30) calendar days from the Effective Date of the Final Order issued by the EPA Regional Judicial Officer (RJO) adopting this CCCA. The remaining installment is due no later than thirty (30) calendar days after the due date for the first installment. Both installments shall be in the amount of eight hundred fifty dollars (\$850).
 - b. If the due date for payment falls on a weekend or legal federal holiday, the due date is the next business day. Payment must be received by 11:00 A.M. Eastern Time to be considered received that day.
35. Payment shall be made by one of the following methods. The payment shall be made by remitting a check or making a wire transfer or online payment. The check or other payment shall designate the name and docket number of this case, be in the amount stated above, and be payable to "Treasurer, United States of America." The payment shall be sent as follows:

If sent by regular U.S. mail:

U.S. Environmental Protection Agency / Fines and Penalties
Cincinnati Finance Center
P.O. Box 979077
St. Louis, Missouri 63197-9000

If sent by any commercial carrier or signed receipt confirmation:

U.S. Environmental Protection Agency
Government Lockbox 979077
1005 Convention Plaza
SL-MO-C2-GL
St. Louis, Missouri 63101

If sent by wire transfer: Wire transfers must indicate the name and docket number of this case and be sent directly to the Federal Reserve Bank in New York City with the following information:

Federal Reserve Bank of New York
ABA: 021030004
Account Number: 68010727
SWIFT Address: FRNYUS33
33 Liberty Street
New York, New York 10045
Beneficiary: U.S. Environmental Protection Agency

Automated Clearing House (ACH) for receiving U.S. currency:

U.S. Treasury REX / Cashlink ACH Receiver
ABA: 051036706
Account Number: 310006, Environmental Protection Agency
CTX Format Transaction Code 22 – checking

Physical location of U.S. Treasury facility:
5700 Rivertech Court
Riverdale, Maryland 20737

Online debit and credit card payment:

www.Pay.gov
Enter “sfo 1.1” in the form search box. Open form and complete required fields.

36. At the time of payment, a copy of the check or notification of other type of payment, including proof of the date payment was made, shall be sent at the same time to:

Kristin Jendrek
U.S. EPA Region 8 (8ENF-AT-TP)
Technical Enforcement Program
1595 Wynkoop St.
Denver, Colorado 80202-1129

and

Melissa Haniewicz
Regional Hearing Clerk (8RC)
U.S. EPA Region 8
1595 Wynkoop St.
Denver, Colorado 80202-1129

37. In the event payment is not received by the specified due date, interest accrues from thirty (30) days prior to the applicable due date, at a rate established by the Secretary of Treasury pursuant to 31 U.S.C. § 3717, and will continue to accrue until payment in full is received.
38. A handling charge of fifteen dollars (\$15) shall be assessed the thirty-first (31st) day from the due date of any payment, and for each subsequent thirty (30) day period that the debt, or any portion thereof, remains unpaid. In addition, a six percent (6%) per annum penalty shall be assessed on any unpaid principal amount if payment is not received within ninety (90) days of the due date. Payments are first applied to outstanding handling charges, six (6%) percent penalty interest, and late interest. The remainder is then applied to the outstanding principal amount.
39. Nothing in this CCCA shall be construed as a waiver by the EPA or any other federal entity of its authority to seek costs or any appropriate penalty associated with any collection action instituted as a result of Respondent's failure to perform pursuant to the terms of this CCCA.

VI. GENERAL PROVISIONS

40. The parties agree to submit this CCCA to the RJO with a request that it be incorporated into a final order.
41. This CCCA, upon incorporation into a final order, applies to and is binding upon the EPA and upon Respondent, and Respondent's officers, directors, employees, agents, successors and assigns. Any change in ownership of Respondent, including but not limited to any transfer of assets or real or personal property shall not alter Respondent's responsibilities under this CCCA.
42. This CCCA, upon incorporation into a final order and full satisfaction by both parties, shall only resolve Respondent's liability for federal civil penalties for the violations and facts alleged in this CCCA.
43. Respondent waives any and all available rights to judicial or administrative review or other remedies that Respondent may have, with respect to any issue of fact or law or any terms and conditions set forth in this CCCA, including any right of judicial review under the Administrative Procedure Act, 5 U.S.C. §§ 701-706.
44. This CCCA does not pertain to any matters other than those expressly specified herein. The EPA reserves, and this CCCA is without prejudice to, all rights against Respondent with respect to all other matters including, but not limited to, the following:
 - a. Claims based on a failure by Respondent to meet a requirement of this CCCA, including any claims for costs which are caused by Respondent's failure to comply with this Agreement;
 - b. Claims based on criminal liability; and,
 - c. Claims based on any other violations of the Act or federal or state law.
45. Nothing in this CCCA shall relieve Respondent of the duty to comply with TSCA and its implementing regulations.
46. Failure by Respondent to comply with any of the terms of this CCCA shall constitute a breach of the CCCA and may result in referral of the matter to the United States Department of Justice for enforcement of this CCCA and for such other relief as may be appropriate.

- 47. Respondent agrees that the penalty specified in this CCCA and any interest paid shall not be deductible for purposes of local, state, or federal taxes.
- 48. Each party to this action shall bear its own costs and attorney fees, if any.
- 49. The undersigned representative of Respondent certifies that he/she is fully authorized to enter into the terms and conditions of the CCCA and to bind Respondent to the terms and conditions of this CCCA.
- 50. In accordance with 40 C.F.R. § 22.31(b), the effective date of this CCCA is the date on which the Final Order is filed.

**UNITED STATES ENVIRONMENTAL PROTECTION
AGENCY, REGION 8,**

Complainant.

Date: 4/29/17

By: [Signature]
David Cobb, Supervisor
Toxics and Pesticides Enforcement Unit
Technical Enforcement Program
Office of Enforcement, Compliance and
Environmental Justice (8ENF-AT-TP)
U.S. Environmental Protection Agency,
Region 8

Date: 4/24/17

By: [Signature]
James H. Eppers, Supervisory Attorney
Legal Enforcement Program
Office of Enforcement, Compliance and
Environmental Justice (8ENF-L)
U.S. Environmental Protection Agency,
Region 8

Holly Stiles,

Respondent.

Date: 4-18-2017

By: [Signature]

Printed Name: Holly Stiles

Title: _____

CERTIFICATE OF SERVICE

The undersigned certifies that the original of the attached **COMBINED COMPLAINT AND CONSENT AGREEMENT and FINAL ORDER** in the matter of **HOLLY STILES; DOCKET NO.: TSCA-08-2017-0006** was filed with the Regional Hearing Clerk on April 25, 2017.

Further, the undersigned certifies that a true and correct copy of the documents were emailed to, Marc Weiner, Enforcement Attorney. True and correct copies of the aforementioned documents were placed in the United States mail certified/return receipt on April 25, 2017, to:

Respondent

Holly Stiles
17509 County Road 394
La Salle, Colorado 80645

And emailed to:

Jessica Chalifoux
U. S. Environmental Protection Agency
Cincinnati Finance Center
26 W. Martin Luther King Drive (MS-0002)
Cincinnati, Ohio 45268

April 25, 2017



Melissa Haniewicz
Regional Hearing Clerk

